## 2012 - Research funding in figures


#### Abstract

In 2012, the Swiss National Science Foundation (SNSF) granted funding to the total value of CHF 755 million, 5.9\% more than in 2011 (CHF 713 million). This financing was used to support over 3,500 research projects.


[^0]
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## 1. Funding in overview

### 1.1 Funding by research area

Amounts in CHF million

Distribution of the approved amounts

$\square$ Humanities and social sciences
$\square$ Mathematics, natural and engineering sciences - Biology and medicine

|  | Amount | Women \| Men |  |
| :--- | ---: | :--- | :--- |
| Humanities and social sciences | 180.3 | $29 \% \quad 71 \%$ |  |
| Mathematics, natural and engineering sciences | 261.7 | $14 \% 86 \%$ |  |
| Biology and medicine | 311.3 | $22 \%$ | $78 \%$ |
| Unapportionable | 1.9 |  |  |
| Total | 755.2 | $21 \%$ | $79 \%$ |

The allocation to the research areas has changed only slightly year-on-year. The share of biology and medicine has risen by $2 \%$.

Approved amounts since 2005


- Total
- Biology and medicine
- Mathematics, natural and engineering sciences
- Humanities and social sciences

The distribution of funds across the three research areas is based largely on demand.

### 1.2 Funding by scheme

Amounts in CHF million

Distribution of the approved amounts


## $\square$ Projects

- Careers
- Programmes
- Infrastructures

Science communication

|  | Number | Amount |
| :--- | ---: | ---: |
| Projects | 1206 | 391.4 |
| Careers | 1219 | 156.4 |
| Programmes | 600 | 180.0 |
| Infrastructures | 80 | 21.6 |
| Science communication | 395 | 5.8 |
| Total | 3500 | 755.2 |

In 2012, the SNSF allocated more than half of its funds to its main funding scheme, project funding. The amount of funding for science communication increased by $39 \%$ to CHF 5.8 million year-on-year due to the introduction of Agora.

### 1.3 Funding by institution and research area

Amounts in CHF million

## Distribution of the approved amounts (incl. overhead) ${ }^{1}$




### 1.4 Use of approved amounts



Total amount: CHF 755.2 million

- Salaries and fellowships (incl. social security contributions)
- Materials of enduring value
- Research funds

As in previous years, the approved funds were used by the researchers mainly to cover personnel costs, whether for the financing of individual salaries/fellowships in the context of career funding or for the appointment of personnel in research projects. As of 2012, consumables as well as travel and field expenses are included in the new budget item "Research funds".

### 1.5 Personnel in research projects

Around 5,100 persons were employed in research projects in 2012. In addition, approx. 950 were supported in the context of career funding and 2,700 were employed through the National Research Programmes and Centres of Competence in Research.

|  | Total | Women \| Men |  |  |
| :--- | ---: | :--- | :--- | :--- |
| Scientists $^{1}$ | $36 \%$ | $49 \%$ | $51 \%$ |  |
| Personnel at doctoral level | $51 \%$ | $45 \%$ | $55 \%$ |  |
| Technicians, support staff | $13 \%$ | $66 \%$ | $34 \%$ |  |
| Total | $100 \%$ | $49 \%$ | $51 \%$ |  |

${ }^{1}$ Senior researchers and postdocs

Funding for research projects primarily benefits the promotion of young scientists in Switzerland. Thus $77 \%$ of the collaborators are 35 years old or younger ( $95 \%$ in the case of personnel at doctoral level, $55 \%$ for other scientists). The share of women increased both among scientists ( $+5 \%$ ) and among doctoral students ( $+3 \%$ ) year-on-year.

### 1.6 Success rates

Amounts in CHF million


[^1]The SNSF analyses the differences between the success rates of female and male applicants every year. For this purpose, it has introduced a gender equality monitoring system that examines the differences and attempts to identify the determining factors. If the differences are very pronounced, appropriate measures are taken.

Submitted applications by gender

|  | Women \| Men |  |
| :--- | :--- | :--- |
| Fellowships (prospective) | $44 \%$ | $56 \%$ |
| Fellowships (advanced) | $40 \%$ | $60 \%$ |
| Marie Heim-Vögtlin grants (MHV) ${ }^{1}$ | $100 \%$ |  |
| Ambizione | $34 \%$ | $66 \%$ |
| SNSF professorships | $30 \%$ | $70 \%$ |
| Projects | $20 \%$ | $80 \%$ |

[^2]
## 2. Projects

The SNSF supports research projects of high quality across all disciplines. The topics are selected by the researchers. Grants include contributions towards personnel costs, equipment, consumables and travel expenses.

### 2.1 Funding by research area

Amounts in CHF million

Distribution of the approved amounts


|  | Amount |
| :--- | ---: |
| Humanities and social sciences | 84.8 |
| Mathematics, natural and engineering sciences | 135.7 |
| Biology and medicine | 155.4 |
| Interdisciplinary research | 15.5 |
| Total | 391.4 |

Humanities and social sciences

- Mathematics, natural and engineering sciences
- Biology and medicine
- Interdisciplinary research


### 2.2 Funding by group of disciplines

Amounts in CHF million

## Division I: Humanities and Social Sciences

Distribution of the approved amounts


Philosophy, psychology, educational sciences and religious sciences
Legal and social sciences, economics

- History
- Archaeology, ethnology, art studies and social urban science
- Linguistics and literature

|  | Number | Amount |
| :--- | ---: | ---: |
| Philosophy, psychology, educational sciences and religious sciences | 76 | 19.8 |
| Legal and social sciences, economics | 101 | 24.9 |
| History | 40 | 11.7 |
| Archaeology, ethnology, art studies and social urban science | 67 | 20.0 |
| Linguistics and literature | 32 | 8.4 |
| Total | 316 | 84.8 |

The integration of the DORE programme for universities of applied sciences into project funding led to shifts between the groups of disciplines. Economics and the legal and social sciences still receive the largest share of SNSF funding, namely $29 \%$.

Division II: Mathematics, Natural and Engineering Sciences

Distribution of the approved amounts


- Mathematics
- Astronomy, astrophysics, space sciences
- Chemistry
- Physics

Engineering sciences

- Environmental sciences
- Earth sciences

|  | Number | Amount |
| :--- | ---: | ---: |
| Mathematics | 54 | 15.4 |
| Astronomy, astrophysics and space sciences | 19 | 8.8 |
| Chemistry | 78 | 23.8 |
| Physics | 100 | 36.5 |
| Engineering sciences | 145 | 30.5 |
| Environmental sciences | 49 | 8.5 |
| Earth sciences | 58 | 12.2 |
| Total | 503 | 135.7 |

After decreasing in the previous years, grants in mathematics witnessed a remarkable increase of $38 \%$ in 2012 compared to the previous year.

## Division III: Biology and Medicine

Distribution of the approved amounts


|  | Number | Amount |
| :--- | ---: | ---: |
| Basic biological research | 113 | 58.2 |
| General biology | 52 | 21.6 |
| Basic medical research | 70 | 31.8 |
| Experimental medicine | 48 | 22.8 |
| Clinical medicine | 51 | 17.9 |
| Preventive medicine (epidemiology/early diagnosis/prevention) | 6 | 1.8 |
| Social medicine | 4 | 1.3 |
| Total | 344 | 155.4 |

Half of the funds of Division III are allocated to biological research, the other half to medical research. As often in the past, the biological basic sciences received the largest share, in particular basic science projects in biochemistry, genetics and molecular and cell biology.

### 2.3 Grants, reductions and rejections

Amounts in CHF million

|  | Number | Amount |
| :--- | ---: | ---: |
| Humanities and social sciences | 685 |  |
| Applications submitted |  | 223.0 |
| Requested amount | 316 | 84.8 |
| Grants | $(227)$ | 15.1 |
| Reductions in approved applications | 369 | 123.1 |
| Rejections, withdrawals |  |  |



Mathematics, natural and engineering sciences

| Applications submitted | 744 |  |
| :--- | ---: | ---: |
| Requested amount |  | 280.1 |
| Grants | 503 | 135.7 |
| Reductions in approved applications | $(440)$ | 67.6 |
| Rejections, withdrawals | 241 | 76.8 |



| Biology and medicine |  |  |
| :--- | ---: | ---: |
| Applications submitted | 685 |  |
| Requested amount |  | 319.7 |
| Grants | $(234)$ | 155.4 |
| Reductions in approved applications | 341 | 35.4 |
| Rejections, withdrawals | 128.9 |  |

Interdisciplinary research

| Applications submitted | 107 |  |
| :--- | ---: | ---: |
| Requested amount |  | 44.1 |
| Grants | 43 | 15.5 |
| Reductions in approved applications | $(30)$ | 2.1 |
| Rejections, withdrawals | 64 | 26.5 |



Total

| Applications submitted | 2221 |  |
| :--- | :---: | :--- |
| Requested amount |  | 866.9 |
| Grants | 1206 | 391.4 |
| Reductions in approved applications | $(931)$ | 120.2 |
| Rejections, withdrawals | 1015 | 355.3 |



### 2.4 Number of applications and grants since 2005



- Applications submitted
- Applications approved
- Applications by women
- Applications by women approved

For the first time in several years, the number of applications in project funding decreased ( $-8 \%$ ). The share of applications by women remains low.

### 2.5 Requested and approved amounts since 2005

CHF million


- Total requested funding
- Total approved funding
- Amount requested by women
- Amount granted to women

In 2012, the total amount approved in project funding rose by CHF 32.4 million to CHF 391.4 million ( $+9 \%$ ).

### 2.6 International networking

## Number of international collaborations



In total, 4057 instances of international collaboration have taken place in the context of projects supported by the SNSF. The table shows the ten countries collaborated with most frequently.

## 3. Careers

The SNSF has a wide range of funding options in place to promote the careers of young researchers.

### 3.1 Funding by scheme

Amounts in CHF million

|  | Number of applications submitted |  |  |  |  | Number of applications approved |  |  |  |  | Approved amount |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | New applications |  |  | Follow-up applications |  | New applications |  |  | Follow-up applications |  |  |
|  | Total | Women | Men | Women | Men | Total | Women | Men | Women | Men |  |
| Fellowships (prospective) | 867 | 383 | 484 | 41 | 58 | 538 | 239 | 299 | 32 | 34 | 32.0 |
| Fellowships (advanced) | 208 | 83 | 125 | 14 | 18 | 110 | 45 | 65 | 8 | 14 | 11.7 |
| Marie Heim-Vögtlin grants (MHV) | 123 | 123 | - | 14 | - | 37 | 37 | - | 7 | - | 7.8 |
| Ambizione ${ }^{1}$ | 289 | 99 | 190 | 11 | 28 | 56 | 21 | 35 | 10 | 28 | 34.1 |
| SNSF professorships | 192 | 57 | 135 | 10 | 11 | 41 | 12 | 29 | 8 | 11 | 67.7 |
| MD-PhD fellowships | 7 | 4 | 3 | - | - | 7 | 4 | 3 | - | - | 1.2 |
| Graduate courses | 5 | 0 | 5 | - | - | 5 | 0 | 5 | - | - | 0.1 |
| Summer courses | 162 | 86 | 76 | - | - | 156 | 82 | 74 | - | - | 0.4 |
| International short visits | 116 | 36 | 80 | - | - | 110 | 34 | 76 | - | - | 0.9 |
| Research semesters ${ }^{2}$ | 7 | 1 | 6 | - | - | 7 | 1 | 6 | - | - | 0.4 |
| Mobility grants for doctoral students ${ }^{3}$ | (15) | (10) | (5) | - | - | (13) | (8) | (5) | - | - | 0,1 |
| Total | 1976 | 872 | 1104 | 90 | 115 | 1067 | 476 | 591 | 65 | 87 | 156.4 |

${ }^{1}$ incl. Ambizione-PROSPER and Ambizione-SCORE. Ambizione follow-ups include 4 SCORE grants (CHF 1 million)
${ }^{2}$ Research semesters for departing members of the Research Council
${ }^{3}$ Mobility grants are supplementary grants and are therefore not treated as separate applications. Hence they are not accounted for in the total number of applications, but are considered in the amount.

The upward trend in career funding applications continued in 2012. The increase in demand was particularly strong in the Marie Heim-Vögtlin programme ( $+18 \%$ ) and in Ambizione ( $+25 \%$ ). As a result, the success rate in Ambizione fell to $19 \%$, the lowest level since the introduction of the funding scheme in 2008. The SNSF was able to award eight mobility grants, a funding scheme introduced in June 2012.

### 3.2 Funding by research area

Amounts in CHF million

Distribution of the approved amounts


Humanities and social sciences
$\square$ Mathematics, natural and engineering sciences
Biology and medicine

### 3.3 Fellowships by host country

USA
United Kingdom
Germany
France
Canada

## 4. Programmes

Programmes are funding instruments with pre-defined thematic and conceptual/organisational parameters. They are either suggested by researchers or their home institutions, or established by political actors.

### 4.1 Funding by scheme

Amounts in CHF million

|  | Number |  |
| :--- | ---: | ---: |
| National Research Programmes (NRPs) | 88 |  |
| National Centres of Competence in Research (NCCRs) ${ }^{1}$ | 26.3 |  |
| International programmes | 360 |  |
| SCOPES/ESTROM programme | 64.6 |  |
| Bulgarian-Swiss Research Programme (BSRP) | 38 | 37 |
| Romanian-Swiss Research Programme (RSRP) | 15.1 |  |
| Multilateral collaborations | 0.3 |  |
| Sinergia | 26 | 3.8 |
| Special Programme University Medicine | 8.9 |  |
| Doctoral programmes (ProDoc) | 2.1 |  |
| Total | 36 |  |

${ }^{1}$ Sub-projects

### 4.2 National Research Programmes

Amounts in CHF million

The National Research Programmes (NRPs) study problems of societal, political and economic importance for Switzerland. The topics are prescribed by the Federal Council.

|  | Approved amount $2012^{1}$ | Overall budget | Overall amount approved up to $2012^{2}$ | Duration |
| :---: | :---: | :---: | :---: | :---: |
| Current NRPs | Total |  | Total |  |
| NRP 59 Benefits and Risks of the Deliberate Release of Genetically Modified Plants | 0.3 | 12.0 | 13.3 | 2007-2012 |
| NRP 60 Gender Equality | 0.1 | 8.0 | 6.7 | 2010-2013 |
| NRP 61 Sustainable Water Management | 0.8 | 12.0 | 10.5 | 2010-2013 |
| NRP 62 Smart Materials | 2.9 | 11.0 | 9.5 | 2010-2014 |
| NRP 63 Stem Cells and Regenerative Medicine | - | 10.0 | 5.6 | 2010-2014 |
| NRP 64 Opportunities and Risks of Nanomaterials | 2.0 | 12.0 | 9.2 | 2010-2015 |
| NRP 65 New Urban Quality | - | 5.0 | 3.5 | 2010-2013 |
| NRP 66 Resource Wood | 1.7 | 18.0 | 12.8 | 2012-2017 |
| NRP 67 End of Life | 10.1 | 15.0 | 10.5 | 2012-2018 |
| NRP 68 Sustainable Use of Soil as a Resource | 8.3 | 13.0 | 8.3 | 2013-2017 |
| NRP 69 Healthy Nutrition and Sustainable Food Production | - | 13.0 | - | 2013-2017 |
| New NRPs |  |  |  |  |
| NRP 70 Transforming Energy | - | 37.0 | - | 2013-2018 |
| NRP 71 Options for Controlling Final Energy Consumption | - | 8.0 | - | 2013-2018 |
| Total | 26.2 | 129.0 | 89.9 |  |

${ }^{1}$ Excluding grants for formally terminated programmes (CHF 0.1 million)
2 These amounts do not take account of repayments, third-party funds, etc.

In 2012, the Federal Council mandated the SNSF to conduct two new NRPs. The topics are "Transforming Energy" (NRP 70) and "Options for Controlling Final Energy Consumption" (NRP 71). The relevant calls for proposals will be issued in 2013.

### 4.3 National Centres of Competence in Research

Amounts in CHF million

With the National Centres of Competence in Research the SNSF promotes long-term research networks in areas of strategic significance for the future of Swiss science, Swiss economy and Swiss society.

| Series 2001 | SNSF contribution $2012^{1}$ | SNSF contribution for 12 years | Total budget for 12 years | Start | Home institution |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Financial Valuation and Risk Management (FINRISK) | 0.1 | 28.2 | 58.7 | 2001 | University of Zurich |
| Computer Aided and Image Guided Medical Interventions (CO-ME) | 1.8 | 42.7 | 114.4 | 2001 | ETH Zurich |
| Frontiers in Genetics (Genetics) | 1.0 | 43.0 | 145.5 | 2001 | University of Geneva |
| Interactive Multimodal Information Management (IM2) | 1.8 | 33.0 | 83.9 | 2002 | Idiap, Martigny |
| Climate | 0.4 | 26.6 | 134.1 | 2001 | University of Bern |
| Materials with Novel Electronic Properties (MaNEP) | 2.2 | 51.0 | 211.6 | 2001 | University of Geneva |
| Mobile Information and Communication Systems (MICS) | 1.0 | 37.5 | 104.4 | 2001 | EPF Lausanne |
| Molecular Oncology | 1.5 | 43.6 | 117.1 | 2001 | EPF Lausanne |
| Nanoscale Science | 2.4 | 50.2 | 183.2 | 2001 | University of Basel |
| North-South | 1.0 | 36.4 | 97.3 | 2001 | University of Bern |
| Neural Plasticity and Repair (NEURO) | 2.8 | 44.3 | 242.3 | 2001 | University of Zurich |
| Quantum Photonics | 2.0 | 45.3 | 122.8 | 2001 | EPF Lausanne |
| Structural Biology - Molecular Life Sciences | 0.8 | 36.7 | 114.7 | 2001 | University of Zurich |
| Plant Survival | 0.8 | 33.8 | 86.9 | 2001 | University of Neuchâtel |
| Total | 19.6 | 552.3 | 1816.9 |  |  |


| Series 2005 | SNSF contribution $2012^{1}$ | SNSF contribution for 8 years | Total budget for 8 years | Start | Home institution |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Affective Sciences - Emotion in Individual Behaviour and Social Processes | 3.6 | 21.3 | 53.3 | 2005 | University of Geneva |
| Democracy - Challenges to Democracy in the $21{ }^{\text {st }}$ Century | 2.2 | 14.9 | 29.8 | 2005 | University of Zurich |
| Iconic Criticism - The Analysis of Image Processes | 1.9 | 14.6 | 33.1 | 2005 | University of Basel |
| Mediality - Historical Perspectives | 1.5 | 11.7 | 22.1 | 2005 | University of Zurich |
| International Trade Regulation - From Fragmentation to Coherence | 2.3 | 20.8 | 27.5 | 2005 | University of Bern |
| Total | 11.5 | 83.3 | 165.8 |  |  |


| Series 2010 | SNSF contribution $2012^{1}$ | SNSF contribution for 4 years | Total budget for 4 years | Start | Home institution |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Chemical Biology - Visualisation and Control of Biological Processes Using Chemistry | 3.4 | 13.5 | 27.4 | 2010 | University of Geneva EPF Lausanne |
| Kidney.CH - Kidney Control of Homeostasis | 4.5 | 16.5 | 27.2 | 2010 | University of Zurich |
| LIVES - Overcoming Vulnerability: Life Course Perspectives | 4.5 | 14.6 | 31.9 | 2011 | University of Lausanne University of Geneva |
| MUST - Molecular Ultrafast Sciences and Technology | 4.4 | 17.8 | 39.9 | 2010 | ETH Zurich University of Bern |
| QSIT - Quantum Science and Technology | 4.7 | 17.3 | 55.5 | 2011 | ETH Zurich <br> University of Basel |
| Robotics - Intelligent Robots for Improving the Quality of Life | 3.7 | 13.3 | 29.9 | 2010 | EPF Lausanne |
| SYNAPSY - The Synaptic Bases of Mental Diseases | 4.6 | 17.5 | 41.1 | 2010 | EPF Lausanne University of Lausanne University of Geneva |
| TransCure - From Transport Physiology to Identification of Therapeutic Targets | 3.7 | 14.1 | 28.3 | 2010 | University of Bern |
| Total | 33.5 | 124.6 | 281.2 |  |  |
|  |  |  |  |  |  |
| All NCCRs | 64.6 | 760.2 | 2263.9 |  |  |

[^3]Within the scope of the fourth call for new NCCRs, the SNSF will evaluate the 23 applications in 2013 and submit a shortlist of recommended projects for final selection to the Department of Economic Affairs, Education and Research. Research work will start in 2014.

## 5. Infrastructures

In individual cases, the SNSF provides direct funding for research infrastructures that are indispensable for research projects. This is complemented by specific funding programmes.

### 5.1 Funding by scheme

Amounts in CHF million

Distribution of the approved amounts


- Research infrastructures
- R'Equip
- FORCE/FINES


### 5.2 Funding by research area

Amounts in CHF million

Distribution of the approved amounts


|  | Amount |
| :--- | ---: |
| Humanities and social sciences | 0.9 |
| Mathematics, natural and engineering sciences | 13.0 |
| Biology and medicine | 7.7 |
| Total | 21.6 |

## $\square$ Humanities and social sciences

Mathematics, natural and engineering sciences

- Biology and medicine

|  | Number | Amount |
| :--- | ---: | ---: |
| Research infrastructures | 6 | 2.7 |
| R'Equip | 58 | 13.7 |
| FORCE/FINES | 16 | 5.2 |
| Total | 80 | 21.6 |

## 6. Science communication

The SNSF promotes communication between researchers as well as between science and society.

### 6.1 Funding by scheme

Amounts in CHF million

Distribution of the approved amounts


- Scientific conferences

Publications
DORE: Publications
International exploratory workshops

### 6.2 Funding by research area

Amounts in CHF million

## Distribution of the approved amounts



|  | Amount |
| :--- | ---: |
| Humanities and social sciences | 3.7 |
| Mathematics, natural and engineering sciences | 1.0 |
| Biology and medicine | 1.1 |
| Total | 5.8 |

## Humanities and social sciences

$\square$ Mathematics, natural and engineering sciences

- Biology and medicine


[^0]:    The statistics include all applications processed and approved during the financial year as well as contributions paid out in the area of National Centres of Competence in Research in 2012. Additional grants are not treated as separate applications but are included in the approved amounts. The gender statistics refer to the share of responsible applicants.

    The data in the statistical part of the annual report are not comparable with the figures in the annual statement.
    Full version of the statistics: www.snsf.ch > About us > Facts \& figures > Statistics

[^1]:    ${ }^{1}$ Ratio of the number of applications approved to the number of applications submitted
    ${ }^{2}$ Incl. Ambizione-PROSPER and Ambizione-SCORE
    ${ }^{3}$ Number of approved/submitted pre-proposals NRP 68
    4 Extensions of long-term projects

[^2]:    ${ }^{1}$ The proportion of female applicants is $100 \%$ as MHV is a programme for women scientists.

[^3]:    1 Also contains contributions for management, knowledge and technology transfer, promotion of young scientists, etc.

